IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pierino Bonanni et al.   Group Art Unit: 2863

Serial No.:   10/065,331   Examiner: J. H. Le

Filed: October 4, 2002

For   METHOD AND SYSTEM FOR DETECTING   :
      PRECURSORS TO COMPRESSOR STALL AND
      SURGE
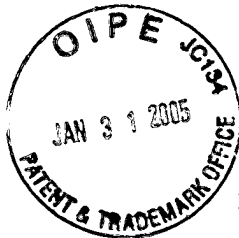
(Stamp: OIPE — JAN 3 1 2005 — PATENT & TRADEMARK OFFICE)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

☐ 1   **Pursuant to 37 CFR 1.97(b)**

[within 3 months of national, non-CPA filing or prior to 1st Office Action]   *no charge*

☒ 2   **Certification Pursuant to 37 CFR 1.97(c)(1)**

[before Final Office Action, Allowance, or other action closing prosecution]   *no charge*

☐ 3   **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**

[before Final Office Action, Allowance, or other action closing prosecution]   *$180.00*

☐ 4   **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**

[On or before issue fee payment]   *$180.00*

ATTN: MAILSTOP PATENT APPLICATION
COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR
1.97 & 37 CFR 1.98:

☒ 5   A list of documents on form PTO-1449 or Substitute together with copies of each
      identified document and a translation thereof or a concise explanation of each
      non-English language document or a Search Report from an International
      Searching Authority for a patent application filed via the Patent Cooperation
      Treaty or document(s) cited in the application or the priority application.
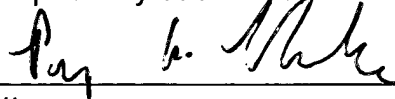
This paper is submitted in accordance with:

☐ 6   37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]

☒ 7   37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

       ☒ 8   The required Certification made in item 11 below; or

       ☐ 9   The $180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.

☐ 10   37 CFR §1.97(d): [On or before issue fee payment]; and

       a)   The required Certification is stated in item 11 below; and

       b)   The $180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

☒ 11   Certification

       ☒ 12   Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

       ☐ 13   No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14   Payment of all applicable fees:

       ☒ 15   Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

1-26-05

Date

Attorney - Penny A. Clarke

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General Electric Company
GEGR Patent Docket Rm. Bldg. K-1 Rm. 4A59
One Research Circle
Niskayuna, New York 12309
Customer Number: 006147

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Form GE56-1 (07/04)

FORM PTO-1449 (REV. 7-80) (Title Amended 8/83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 121601-1	SERIAL NO. 10/065,331
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- LIST OF ITEMS (Use several sheets if necessary)		Applicant Pierino Bonanni et al.	
		Filing Date October 4, 2002	Group 2863

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	No
	AL	0315307	05/10/89	EP				
	AM	0516534	12/02/92	EP				
	AN							
	AO							
	AP							

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	AR	EP SEARCH REPORT, EP03256261, J. TEERLING, JANUARY 19, 2005.
	AS	
	AT	

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.